PLEDGE REQUEST FORM (PRF)

☐	Setup of Pledge	☐	Confirmation of Pledge	☐	Rejection of Pledge

AMIT JASANI FINANCIAL SERVICES PVT LTD
5/6, Hind Rajasthan Chambers, 3rd Floor, 6 Oak Lane, Fort, Mumbai – 400 001.
Tel.: 66145678 / 66145641 Fax: 66145656 Email: DP@nayaissue.com CDSL **DP ID: 12102500**

Please fill all the details in **Block Letters** in English

Ref No.:		Date								

I/We request you to **create the pledge / confirm the creation of pledge for** the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

Pledgor's Details

DP ID								Client ID								

Pledgor's Name	1.
	2.
	3.

Pledgee's Details

DP ID								Client ID								

Pledgee's Name	1.
	2.
	3.

Details of Securities ☐ Free Securities ☐ Locked-in-Securities

Details of Securities offered for Pledge.

Sr. no.	ISIN	Company Name	Quantity	Date of Release (lock-in)	PNS (System Generated)	Accepted / Rejected by Pledgee	Pledged value

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

Pledge Execution Date									
Pledge Expiry Date									
Total Pledger Value (Rs.)	
Agreement No.	
Date of Pledging									

Pledge Reason [tick mark whichever is applicable]	Collateral – Debt I issuance by Co./Grp Co.	Collateral for loan by Company / Group	Collateral for loan by the Third Party	Margin Pledge / MTF	Personal use by promoters and PACs
	☐	☐	☐	☐	☐
PAN of the Ultimate Lender:					

Note: As a pledgor and pledgee, we are aware that the pledge recorded in the system only prohibits the pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

Pledge Rejection Details	Pledge Rejection by pledgor DP	Pledge Rejection by pledgee DP
Date of Rejection of Pledge		
Pledge Reason [tick mark whichever is applicable]	○ **01 Units not available for Amount requested.** ○ **02 Closure date not accepted.** ○ **03 Pledged Quantity not accepted.** ○ **04 ISIN not acceptable.** ○ **05 Security details not acceptable.** ○ **06 ISIN delisted from Trading.** ○ **07 POA not received from all holders.** ○ **08 Holders not acceptable to the pledgee.** ○ **09 Agreement no. differs from that on the agreement.** ○ **10 Others.** ○ **11 Transfer Quantity not accepted.** ○ **12 Violation of terms of agreement.** ○ **13 Closure Quantity not accepted.** ○ **14 Pledged amount / margin not paid.** ○ **15 Market value of the pledged ISIN is insufficient.**	○ **01 Units not available for Amount requested.** ○ **02 Closure date not accepted.** ○ **03 Pledged Quantity not accepted.** ○ **04 ISIN not acceptable.** ○ **05 Security details not acceptable.** ○ **06 ISIN delisted from Trading.** ○ **07 POA not received from all holders.** ○ **08 Holders not acceptable to the pledgee.** ○ **09 Agreement no. differs from that on the agreement.** ○ **10 Others.** ○ **11 Transfer Quantity not accepted.** ○ **12 Violation of terms of agreement.** ○ **13 Closure Quantity not accepted.** ○ **14 Pledged amount / margin not paid.** ○ **15 Market value of the pledged ISIN is insufficient.**
To be filled and signed in case Set-up/ Rejection of pledge by Pledgor BO		

Signature of the Pledgor

First / Sole Applicant	Second Applicant	Third Applicant

To be filled in case of acceptance / Rejection of pledge by Pledgee BO

Signature of the Pledgee(s)*

First / Sole Holder	Second Holder	Third Holder

*If the pledgee is a bank DP, the signature of the pledgee need not be taken on the pledge request form.

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Depository Participant Seal and Signature