

Amit Jasani Financial Services Pvt. Ltd.

Member : National Stock Exchange of India Ltd.
Bombay Stock Exchange Ltd.

Registered Office : Hind Rajasthan Chambers, 3rd Floor, 6, Oak Lane, Fort, Mumbai-400 001.
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Policy on dealing with Conflicts of Interest

From: The BOD

- To:-
1. Systems
 2. Accounts
 3. Operations and Dealing
 4. Compliance

The following policies, internal procedures and code of conduct to be followed to identify and avoid or to deal or manage actual or potential conflict of interest with the clients while performing your activities:-

- i To maintain at all times high standards of integrity in the conduct of our business;
- ii To ensure fair treatment of our clients and not discriminate amongst them;
- iii To ensure that your personal interest does not at any time conflict with your duty to our clients and client's interest always takes primacy in your advice, investment decisions and transactions;
- iv Endeavor to reduce opportunities for conflict through prescriptive measures such as through information barriers to block or hinder the flow of information from one department to another , etc;
- v While dealing with the clients, appropriate restrictions on transactions in securities while handling a mandate of issuer or client in respect of such security so as to avoid any conflict;
- vi Not to deal or communicate the material non published information while dealing in securities on behalf of others;
- vii Not in any way contribute to manipulate the demand for or supply of securities in the market or to influence prices of securities;
- viii Ensure that you should not have an incentive structure that encourages sale of products not suiting the risk profile of our clients
- viii No one should not share information received from clients or pertaining to them, obtained as a result of their dealings, for your personal interest;

All the associated persons should report to the management, if, any one treating the clients with conflict of interest.

For and On behalf of the board of

Amit Jasani Financial Services Pvt Ltd.,


Director