

Amit Jasani Financial Services Pvt. Ltd.

Member : National Stock Exchange of India Ltd.
Bombay Stock Exchange Ltd.

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POLICY ON PRE-FUNDED INSTRUMENTS/ELECTRONIC FUND TRANSFER

With reference to SEBI Circular No.CIR/MIRSD/03/2011 dated June 9, 2011 on the drafted policy on Pre-funded Instrument/Electronic Fund Transfer which has been approved by Board/Management are given hereunder :

The company instructs its office bearers to check properly the instrument received from clients before depositing the same into bank.

If on checking, the instrument is identified as Pay Order/DD, then a suitable reason/classification is required to be obtained from the client.

The details of the instrument must be tallied with the details provided by the clients in KYC before entering into back office software.

In case of mismatch is identified, it should be reported to department head/management for taking appropriate action.

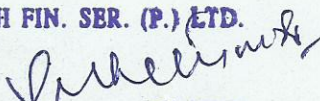
In case of client giving cheque or electronic fund transfer from other bank account of the client, then the details of the bank accounts viz., cancelled cheque or pass book or bank statement to be obtained from the client and updated in the client masters.

Clients who make the payment through pre-fund instrument will be advised to avoid it or not to use this route by educating them the Exchange and PMLA rules & bye-laws in this regard.

Payment received through Electronic Fund Transfer have to be properly check with the details available in bank statement like payee account no, payee name, payee bank, etc., and in case of non-availability of the detail in bank statement then it will be asked from the client to confirm the payment details through e-mails or SMS.

In addition to above circulars, issued by Exchange from time to time has to be followed for proper compliance on this matter.

For AMIT JASANI FIN. SER. (P.) LTD.


Director